



Specimen Signature

Name: MOORI. ASHOK KUMAR REDDY

- Please sign 3 times on the signature and initial box each to ensure consistency.
- If you do not have any initials, please indicate N.A. in the initials box. Kindly do not leave any boxes blank.

M.A.K. Reddy
Signature

MOORI
Initial

M.A.K. Reddy
Signature

MOORI
Initial

M.A.K. Reddy
Signature

MOORI
Initial

1870-1871

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Your personal commitment to citigroup's
code of conduct

For New Hires Only:

I acknowledge that I have read the Citigroup Code of Conduct and understand my obligations as an employee to comply with the principles, policies and laws outlined in the Code, including any amendments made by Citigroup. I understand that a current copy of the Code of Conduct is posted on Citigroup's website at:

<http://www.citigroup.com/citigroup/corporategovernance/codeconduct.htm>.

I understand that my agreement to comply with the Code of Conduct neither constitutes nor should be construed to constitute either a contract of employment for a definite term or a guarantee of continued employment.

Please sign here: M. A. K. Reddy Date: 30/10/2007

Please print your name here: ASHOK KUMAR REDDY EID Number: 1000591297

This signed and completed form must be returned to your manager within 30 days of receiving this booklet. Failure to do so wont affect the applicability of this Code of Conduct or any of its provisions to you.





1/10/2018

1/10/2018

1/10/2018

1/10/2018



EMPLOYEE WRITTEN ASSURANCE

I hereby certify that, unless the permission of the U.S. Departments of Commerce, Treasury, or State, as applicable, is obtained, either in writing or in accordance with applicable regulations; I will not export, reexport or otherwise disclose, directly or indirectly, any proprietary software or technical data received from Citigroup or any of its direct or indirect subsidiaries or affiliates -- or the direct product of any such software or technical data -- to any of the following nations or nationals thereof:

1. Country Group E Cuba, Iran, Libya, North Korea, Sudan, Syria, or
2. Non-civil (i.e., military) end-users or for any non-civil (i.e., military) end uses in Country Groups D:1 (currently Albania, Armenia, Azerbaijan, Belarus, Bulgaria, China (PRC), Cambodia, Estonia, Georgia, Iraq, Kazakhstan, North Korea, Kyrgystan, Laos, Latvia, Lithuania, Macau, Moldova, Mongolia, Romania, Russia, Tajikistan, Turkmenistan, Ukraine, Uzbekistan, and Vietnam).

I agree to be bound by any future modifications of the foregoing list of restricted destinations by amendments to the U.S. Export Administration Regulations or other U.S. Government agencies.

I understand that prior approval of the U.S. Department of Commerce or other appropriate agencies can be provided by regulations authorizing certain exports or by licenses or other authorizations obtained after prior application to the agency.

Additionally, I understand that countries other than the U.S. may restrict exports and may also restrict the import or use of strong encryption products and agree that we shall be solely responsible for compliance with any such export, import or use restrictions.

Further, I understand that failure to comply with the U.S. export regulations exposes Citigroup and its employees to severe criminal and administrative penalties and sanctions, as well as adverse publicity. Additional personal liability can result in disciplinary action up to and including termination.

M.A.K. Reddy

(Signature)

30/10/2002

(Date)

MOORI. ASHOK KUMAR REDDY

(Name and Title)

Note: It is Citigroup policy to obtain Written Assurances for all exports and reexports of technology or software. These forms provide that further export/reexports may be authorized by U.S. Government License or regulation. An applicable License Exception or NLR is an authorization by regulation.



US Citizen/US Green Card Holder's Declaration

I confirm that I am not a US Citizen/US Green Card Holder

Name: MOORI. ASHOK KUMAR REDDY

Signature: M.A.K. Reddy

Date: 30/10/2007

Note: For those who are not US Citizen/US Green Card Holder, please notify HR Dept once you become a US Citizen/US Green Card Holder.

I confirm that I am a US Citizen/US Green Card Holder.

I hereby authorize the HR Dept to debit my account monthly for the FICA Social Security and Medicare contribution at the prevailing rate of my gross salary and employment benefits payment on my behalf.

I have / have not* contributed FUTA for this year in my previous organization and my FICA contribution to-date is US\$ _____ (Please see attached supporting document).

Name: _____

Status: **US Citizen/US Green Card Holder***

Social Security No.: _____

Signature: _____

Date: _____

**Delete as appropriate*

1. The first part of the report is a general

description of the project.

2. The second part is a



Conflict of Interest Statement

Staff Member Information

Name/Title MOORI. ASHOK KUMAR REDDY / STORAGE ADMINISTRATOR

Branch/Organization _____

Banking Group _____

Staff Member's Statement

I have received a copy of the Citigroup Code of Conduct and am familiar with its contents. Within its meaning, expressed and implied, I am not and have not been aware of any circumstance of a personal or family nature which would conflict or appear to conflict with the interest of Citigroup, Citibank or its affiliates except as reported in the space provided below. Further, I have no knowledge of improper payments to representatives of governmental or regulatory agencies to gain political or economic advantage for Citigroup or Citibank. I agree to report promptly any such circumstances which may develop in the future.

(Important: If there is nothing to report, please write "NONE" in the space below. You are encouraged to discuss any questions about the Policy and your response with your Supervisor.)

Existing or Potential Conflicts

(check as appropriate)

- ☐ None
- ☐ A reportable situation exists and was approved by Head Office as explained below (give Approval Authority and approximate date).
- ☐ A potential conflict of interest or other reportable situation exists and is explained below.

Explanation:

(Attach additional sheets if necessary)

- ☐ Please check here if you have attached an additional sheet.



Staff member signature _____

Date _____

This form is to be returned to Cashier's Administration (for Head Office & I.S. staff) for insertion to the appropriate file. For local and FAST staff, file locally unless otherwise directed. If you are a newly appointed Vice-President, a copy should be sent to the Citigroup Secretary's Office. If there are any questions, you, your supervisor or your personnel representative must carry the matter through management channels until the matter is resolved. The committee on Good Corporate Practice is prepared to offer advice at any time.

From time-to-time, you will receive similar forms which must be filled up and returned to the sending unit. For example:

- Upon request from the national bank examiner, the Cashier's Administration of the Citibank N.A. must survey all domestic U.S. officers via a similar form.
 - Approximately every three years the Committee on Good Corporate Practice conducts an independently audited worldwide survey using a similar form.
-



REFERENCE CHECK AUTHORISATION FORM

To Whom It May Concern:

I, REDDY ASHOK KUMAR
Last Name First Name Middle Name

hereby authorize Citigroup and/or any of its subsidiaries or affiliates, and any persons or organizations acting on its behalf, to verify information presented on my employment application/resume. I hereby grant authority for the bearer of this letter to access or be provided with full details of my previous employment record held by any company or business that I previously worked. This information should include the dates of employment, the nature or the position held, details of my salary, appraisal of my performance, capabilities and character and any other pertinent information requested by the individual presenting this authority.

Signature : M.A.K. Reddy

Date : 30/10/2007

1912-13

1913-14

1914-15

1915-16

1916-17



Patent and Confidential Information Agreement

Staff Member Information

Name/Title:

ASHOK KUMAR REDDY / STORAGE ADMINISTRATOR

Branch/Organization:

Banking Group:

Citigroup Statement

Your position with Citigroup may give you access to information regarded by the Corporation as confidential. Defined broadly, confidential or proprietary information is any information which gives the Corporation an advantage over its competitors. It is recognized that it may often be difficult to draw an exact line between proprietary information, which is the exclusive property of the Corporation, and the information that is part of the background of skill and experience normally acquired in the course of personal business experiences, which you are free to use in your employment anywhere. Your own sense of propriety is usually the safest guide in interpretation. In case of doubt, you are urged to discuss the matter with your supervising officer. We ask that you agree to the terms of this agreement so that there will be no misunderstanding at some future date regarding the matters set out below.

Staff Member Commitment

In consideration of my position and employment with Citigroup, or its subsidiaries or affiliates, I agree to keep confidential, and not divulge to others, during the course of my employment, secret or confidential information and data regarding the business of the Corporation, its customers, products and services, methods, systems, business plans or marketing methods and strategies, costs or other confidential secret and proprietary information of the Corporation's customers, clients and vendors in the event that my employment with the Corporation terminates, I agree not to divulge or use such confidential information and to return promptly to the Corporation all documents and other material owned by the Corporation.

In addition, it is understood that while employed by the Corporation, I will promptly disclose to it and assign to it my interest in my invention, improvement or discovery made or conceived by me, either alone or jointly with others, which arises out of my employment. At the Corporation request and expense, I will assist the Corporation during the period of my employment and thereafter in connection with any controversy or legal proceeding relating to such invention, improvement or discovery and in obtaining domestic and foreign patent or other protection covering the same.

Staff Member Signature

Date

1. The first part of the paper is devoted to the study of the



PRIVACY AT CITIGROUP

Our goal is to maintain your trust and confidence when handling personal information about you.

YOU HAVE CHOICES:

As a Citigroup customer, you have the opportunity to make choices. As you consider this, we encourage you to make choices that enable us to provide you with quality products and services that help you meet your financial needs and objectives.

SECURITY OF PERSONAL INFORMATION:

The security of personal information about you is our priority. We protect this information by maintaining physical, electronic, and procedural safeguards that meet or exceed applicable law. We train our employees in the proper handling of personal information. When we use other companies to provide services for us, we require them to protect the confidentiality of personal information they receive.

=====

To be completed by Citigroup Employees :

I have received coverage and understand 'Privacy at Citigroup'.

I understand my role in upholding 'Privacy at Citigroup' and in safeguarding customer information.

MOORI. ASHOK KUMAR REDDY
Name of Employee

M.A.K. Reddy 30/12/2007
Employee signature Date

STORAGE ADMINISTRATOR
Job title

STORAGE OPERATIONS
Department and office location

1. *Chlorophyll* *Chlorophyll*

Chlorophyll *Chlorophyll*

Chlorophyll *Chlorophyll*

Chlorophyll *Chlorophyll*

... ..

1. 1980年12月25日，中国科学院南京地质古生物研究所成立。

[illegible]



STAFF DECLARATION

Under Section 27 of the Banking Act, it is mandatory for the bank to report credit facilities granted to companies in which staff is a director, manager, agent or guarantor of the company.

We request you to provide the following information to facilitate reporting under Sections 27 and 29 of the Banking Act and under Directive 7 to Merchant Banks:

1. Are you a director, manager, agent or guarantor in/to any private or public company in Singapore?

- ☐ No
☐ Yes

If your answer is yes, please provide name(s) of the company(ies) and your title in the company

1a) Appointment in the company

(Pls tick)

- ☐ Director
- ☐ Manager
- ☐ Agent
- ☐ Guarantor

1b) Name of Company (ies) : _____

2. Are there any loans, advances or credit facilities granted by Citibank NA, Citibank Singapore Ltd or Citibank Investment Bank Singapore Ltd to the company(ies) which you have provided in Q#1b?

- ☐ No
☐ Yes

2a If your answer is yes, please provide details of the following:

Name of Company	Type of loans, advances or credit facilities	Amount granted	Amount outstanding	Lending Entity (eg. Citibank NA, Citibank Singapore Ltd or Citibank Investment Bank Singapore Ltd)

Name of Staff: ASHOK KUMAR REDDY Signature: M.A.K. Reddy

Legal Entity: Citibank NA / Citibank Singapore Ltd / Citibank Investment Bank Singapore Ltd

(pls circle one)

Date: 30/10/2007



Outside Directorship

I confirm that I have read and agree to comply with the Outside Activities Policy¹. I understand that I must not accept any outside directorships² or similar positions³ with an organization outside of Citigroup until I have obtained all required approvals.

Business Group* : Corporate & Investment Bank (CIB) / Global Consumer Group (GCG) /
(* Delete where appropriate) International Personal Banking (IPB) / Global Wealth Management (GWM)

Name MOORI ASHOK KUMAR REDDY	Title STORAGE ADMINISTRATOR
Department STORAGE OPERATIONS	Office telephone number 64263801
Cost center 8022	Location TAMPINES PLAZA

Do you have outside directorships? (If Yes, please complete the rest of the form, if No, please sign and submit)		Yes / No	
Name of Outside Entity		Entity type: Not for profit/Government/Municipal/Private-Owned ⁴ /Public ⁵ if others please state:	
Nature of the business			
Your position		Period of appointment: from to	
Annual compensation (\$)			
Describe your duties			
Hours devoted during and after business	during:	per month.	after: per month.
Do you have financial interest in the Outside Entity? If yes, please state.	Yes / No	Do you know of any actual or potential conflict of interest between the Outside Entity and your Business Group and/or another Citigroup business? If yes, describe in an attachment.	Yes / No
Is the directorship at the request of Citigroup? ⁶	Yes / No	Does Citigroup make a market in any securities issued by the Outside Entity?	Yes / No
Does the Outside Entity or any principal (e.g. directors or shareholders) have any material relationship (including an account) with any members of the Citigroup? If yes, state account and describe relationship in an attachment.	Yes / No	Do you know of any significant adverse information about the Outside Entity? If yes, describe in an attachment.	Yes / No

I agree and understand that approval of this application is subject to the following conditions:

- 1) I must not solicit Citigroup's employees or Citigroup's clients to participate in, contribute to, or otherwise support the activities of the Outside Entity.
- 2) I must not represent Citigroup in any dealings with the Outside Entity or any of its affiliates.
- 3) I must not, in the course of my activities as a member of the board of directors or similar body (Board) of the Outside Entity, take any action or initiate, develop or facilitate any relationship between the Outside Entity, its affiliates or any of the Board members of the Outside Entity and any employees of Citigroup or its affiliates, unless I have pre-

¹ You can read the Outside Activities Policy at <http://gcibcompliance.nj.ssmb.com/data/pdf/outsidebusiness-gcibmemo.pdf>

² Including such directorships made at the request of Citigroup.

³ Other similar positions include a supervisory or advisory board position. If you are seeking approval for outside activities other than directorships (such as an appointment at a governmental entity), please complete the **Outside Business Affiliations** form.

⁴ Attach the most recent financial statements (audited or un-audited/interim or final)

⁵ Attach the most recent financial statements and prospectus.

⁶ Attach a copy of request letter and other details and contact Corporate Insurance and Risk Management, Barbara Carlson (1-860-308-5320).

Outside Directorship



- approval in writing from the Compliance Department and the majority pre-approval of the Outside Entity's Board (in which Board decision I will not participate in any manner).
- 4) I must inform my supervisor and Compliance Department on any material changes in the nature of my position with the Outside Entity or the nature of the Outside Entity's activities or any changes in the relationship between the Outside Entity and any members of the Citigroup.
 - 5) I must inform my supervisor and Compliance Department as soon as I am aware of any apparent or potential conflict of interest between my position in the Outside Entity and my position in Citigroup.
 - 6) My position with the Outside Entity is not entitled to indemnification or insurance provided by Citigroup unless my service on the board is at the specific written request of Citigroup.

Approval

		signature	date
	Employee		
Supervisor approval	name title		
	Compensation approved (if any):		
Country compliance review	name country		
Regional compliance review	name		

Senior Management/ Legal/CEO Approval (if required) ⁷

		signature	date
Senior management	name title		
Legal	name country		
CEO	name		

**Please submit this form to the Country Compliance, 12th Floor, Centennial Tower Singapore 039190
Tel #: 6328 5997 Fax #: 6328 5522**

7

- **Senior Management** approval is required for all outside directorships for business organizations. "Senior Management" means the employee's Business Head who is a direct report to the CEO of the CIB
- **Legal** approval is required for directorships in companies engaged in the securities or financial services businesses.
- **CEO or President of Citigroup** approval is required for directorships in public companies.
- Legal or CEO approval may also be required in circumstances other than the above, if your Supervisor/Legal or Compliance Departments believe is necessary.

Outside Business Affiliation



I confirm that I have read and agree to comply with the Outside Activities Policy¹. I understand that I must not accept any outside business affiliations² with an organization outside of the Citigroup until I have obtained all the required approvals.

Business Group* : Corporate & Investment Bank (CIB) / Global Consumer Group (GCG) /
(* Delete where appropriate) International Personal Banking (IPB) / Global Wealth Management (GWM)

Name ASHOK KUMAR REDDY	Title STORAGE ADMINISTRATOR
Department STORAGE OPERATIONS	Office telephone number 64263201
Cost center 8022	Location TAMPINES PLAZA

Do you have outside business affiliations? (If Yes, please complete the rest of the form, if No, please sign and submit)	Yes / No
---	----------

Name of Outside Entity	Entity type: Not for profit/Government/Municipal Entity/Outside Employment if others please state:
------------------------	---

Nature of the business

Your position	Period of appointment: from to
---------------	--------------------------------

Annual compensation (\$)

Describe your duties

Hours devoted during and after business	during: per month. after: per month.
---	--------------------------------------

Do you have financial interest in the Outside Entity? If yes, please state.	Yes / No
---	----------

Does the Outside Entity or any principal have any material relationship (including an account or other business relationship) with any members of the Citigroup? If yes, state account and describe relationship in an attachment.	Yes / No
--	----------

Do you know of any actual or potential conflict of interest between the Outside Entity and your Business Group and/or another Citigroup business? If yes, describe in an attachment.	Yes / No
--	----------

I agree and understand that approval of this application is subject to the following conditions:

- 1) You must not solicit employees or clients of Citigroup to participate in, contribute to, or otherwise support the activities of the Outside Entity.
- 2) You must not represent Citigroup in any dealings with the Outside Entity or any of its affiliates.
- 3) In the course of your outside business affiliation, you will refrain from taking any action or initiating, developing or facilitating any relationship between the outside entity, any affiliate of that entity or any of their respective executive officers or directors on the one hand and Citigroup or any of its affiliates or any of their respective officers, directors, employees and agents on the other hand, unless you have pre-approval in writing from (i) the Compliance Department and (ii) the majority pre-approval of the Outside Entity's Board (in which decision you will not participate in any manner).
- 4) You must inform your supervisor and Compliance Department of any material change in the nature of your affiliation with the Outside Entity or in the nature of the Outside Entity's activities or any changes in the relationship between the Outside Entity and any members of the Citigroup.
- 5) You must inform your supervisor and Compliance Department as soon as you are aware of any apparent or potential conflicts of interest between your positions with the Outside Entity and your Business Group and/or another Citigroup business.

¹ You can read the Outside Activities Policy at <http://gcibcompliance.nj.smb.com/data/pdf/outsidebusiness-gcibmemo.pdf>

² Outside business affiliations include self-employment, ownership of or active participation in a trade or business or any other position or activities for which the employee accepts compensation. If you are seeking approval for outside directorship (or similar positions including a supervisory or advisory board position), please complete the **Outside Directorships form**.

Outside Business Affiliation



Approval

		signature	date
	Employee		
Supervisor	Name _____ Title _____		
	Compensation approved (if any): _____		
Compliance	Name _____ Country _____		
Regional compliance	Name _____		

Senior Management/ Regional Director/Divisional Director Approval (if required)³

		Signature	Date
Senior management	Name _____ Title _____		
Regional director	Name _____		
Divisional director	Name _____		

Please submit the original form to the relevant Compliance Departments:

Business Group	Compliance Departments
Corporate & Investment Bank	Country Compliance - 12th Floor, Centennial Tower Singapore 039190 Tel: 6328 5636 Fax: 6328 5522
Global Consumer Group	GCG Compliance - 14th Floor, Millenia Tower, Singapore 039192 Tel : 6328 2193 Fax 6328 6259
International Personal Banking	IPB Compliance - #07-01, Capital Square, Singapore 049481 Tel: 6328 8376 Fax: 6328 8382
Global Wealth Management	GWM Compliance - 13th Floor, Capital Square, Singapore 049481 Tel : 6328 4790 Fax : 6328 4623

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- **Senior management** approval is required if your supervisor or Legal or Compliance Departments considers necessary. Senior management means your business head who directly reports to the CEO of AP CIB.
- **Regional Director/Divisional Director** approval is required for Private Client employees for any affiliations with governmental or elected positions.

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RELATED STAFF MEMBER REPORT

Completed by
Send to

New Hire/Related staff member

1) Approved Original copy to be filed in staff member's personal file

2) Approved Copy to be filed in "Related Staff Members" File

Guidelines This form should be completed whenever related staff are hired; or whenever current staff have an applicable change in related status. Related staff members may not be assigned to positions where one might have the opportunity to check, process, review, audit or otherwise affect the work of another relative. They may not be assigned to positions where one relative might influence the salary progress of another.
'Relative' is defined as: spouse, children, parents, brothers, sisters, domestic partner, aunts, uncles, nieces, nephews, and in-laws (brother, sister, mother, father, son, daughter), cousins, wards, or step-relatives.

Staff Member Information

Name of Staff _____ GEID : _____

Contact number (O) _____ Location: _____

Group/Department _____

Job title _____

Job duties _____

Related Staff Member

Name of Relative _____ GEID : _____

Relationship _____

Contact number (O) _____ Location: _____

Group/Department _____

Job title _____

Job duties _____

Approvals – By the respective Unit Managers / Dept Heads

Signature/Name/Date

Signature/Name/Date

Policy Exceptions Only

CCO/Business Manager/Date

CCO/Business Manager/Date

Country HR Director/Date
Compliance Manager/Date

1. 1. 1. 1. 1.

2. 2. 2. 2. 2.

3. 3. 3. 3. 3.

4. 4. 4. 4. 4.

5. 5. 5. 5. 5.

6. 6. 6. 6. 6.

7. 7. 7. 7. 7.

DEPENDANT DATA COLLECTION FORM (for CITICHOICE COVERAGE)

Please complete (where applicable) the details of your dependant(s) if you are including them in your benefit selection :

Employee Details :

Gender : ☒ Male / Female

First Name : ASHOK Middle Name : KUMAR REDDY

Family Name : MOORI

Date Joined : 01/11/2007 (MM/DD/YYYY)

Relationship to Employee : Parent / Spouse / Child (Unmarried) ☒

Gender : ☒ Male / Female

First Name : SOUJANYA Middle Name : _____

Family Name : BOJJIREDDY

Birth Date : 25/08/1984 (MM/DD/YYYY) Birth Country : INDIA

Marital Status : ☒ Single / Married

National ID (IC, Birth Cert No. or Passport No) : G6016452K Nationality : INDIA

Relationship to Employee : Parent / Spouse / Child (Unmarried)

Gender : Male / Female

First Name : _____ Middle Name : _____

Family Name : _____

Birth Date : _____ (MM/DD/YYYY) Birth Country : _____

Marital Status : Single / Married

National ID (IC, Birth Cert No. or Passport No) : _____ Nationality : _____

Relationship to Employee : Parent / Spouse / Child (Unmarried)

Gender : Male / Female

First Name : _____ Middle Name : _____

Family Name : _____

Birth Date : _____ (MM/DD/YYYY) Birth Country : _____

Marital Status : Single / Married

National ID (IC, Birth Cert No. or Passport No) : _____ Nationality : _____

** You may reproduce this copy if you have more than 3 dependents.

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Private Securities Transactions

I confirm that I have read and agree to comply with the Corporate and Investment Bank Employee Trading Policy (CIB ETP)¹ and understand that I must not engage in any Private Securities Transactions² until I have obtained all required approvals.

Name	ASHOK KUMAR REDDY	Title	STORAGE ADMINISTRATOR
Department	STORAGE OPERATIONS	Office telephone number	64263801
Cost center	8022	Location	TAMPINE PLAZA

Do you have Private Securities Transactions? (If Yes, please complete the rest of the form, if No, please sign and submit)		Yes / No
Name of entity ("Entity")	Entity type: Privately-Owned/Public If others, please state: _____	
Nature of the business		
Date of investment	Amount (\$)	
Does the Entity or any of its principals (e.g. its directors or shareholders) have, or anticipate having, a business relationship with CIB/SB, or any affiliates of Citigroup? If Yes, state account number and describe relationship.		Yes / No ☒
Do you know of any actual or potential of conflict of interest between the Entity and CIB/SB, and/or another Citigroup business? If Yes, please describe.		Yes / No ☒
Is your participation exclusively as a passive investor? If No, please explain any other involvement.		Yes / No ☒
As far as you know, has the CIB or any of Citigroup's proprietary funds (e.g. CAI, SSB Capital Partners, CPI) made or is seeking to make an investment in the Entity?		Yes / No ☒

I declare:

- I understand that the CIB/SB is not recommending, soliciting interest in, or in any way commenting on the advisability or suitability of the investment. My decision to invest was made in my individual capacity independent from the CIB/SB.
- I have not solicited and will not solicit this investment to or receive any selling compensation from anyone in connection with this investment.
- I will not join with clients nor participate in an investment where the entity, any affiliate, or its principals maintain a client relationship with me. This does not preclude me from making joint investments with members of my immediate family.
- My investment is not based upon any confidential or proprietary information I received in my capacity as an employee, and does not conflict with any investment or business opportunity that is or could be available to the CIB/SB and/or another Citigroup business.
- I will not pool my investment with others as an individual investor to meet investment criteria.
- I will notify the Compliance Department in writing in the event of a change in the status of my investment, a change of ownership, or if I intend to commit additional capital to this investment.

Approval

		Signature	Date
Employee		M.A.K. REDDY	30/11/2013
Supervisor/ Group Head	Name	Title	
Country Compliance	Name	Country	
Regional Compliance	Name		

Please submit this form to Country Compliance, 12th Floor, Centennial Tower Singapore 039190
Tel #: 6328 5997 Fax #: 6328 5522

¹ You can read the CIB Employee Trading Policy at <http://www.citigroup.net/gcibasias/compliance/>.

² Private Securities Transactions are any forms of investments not made available to the general public, for e.g. participation in hedge funds, software companies, real estate syndicates, golfcourse developments, limited partnerships, private investment partnerships, private placements.

1. The first part of the paper is devoted to a study of the properties of the function $f(x)$ which is defined by the equation

$f(x) = \int_0^x f(t) dt$ and is continuous on the interval $[0, 1]$. It is shown that the function $f(x)$ is identically zero on the interval $[0, 1]$.

2. The second part of the paper is devoted to a study of the properties of the function $f(x)$ which is defined by the equation

Employee Trading Account Certification



Please complete either Section A or Section B and return the completed form within 14 days to:
Country Compliance, 3 Temasek Avenue, #12-00 Centennial Tower, Singapore 039190. Tel no. (65) 6328 5997 and Fax no. (65) 6328 5522

Section A – No Covered or Exempt Accounts

I confirm that I have read and agree to comply with the Corporate and Investment Bank Employee Trading Policy¹ and certify that I **do not** have any Covered Accounts² or Exempt Accounts³ (note: you do not need to disclose your Excluded Accounts⁴). I agree to notify Country Compliance upon opening of any Covered Accounts or Exempt Accounts.

MOORI ASHOK KUMAR REDDY M.A.K. Reddy Storage Administration 30/10/2007
print name signature department date

Section B – Disclosure of Covered and Exempt Accounts

I confirm that I have read and agree to comply with the Corporate and Investment Bank Employee Trading Policy¹ and certify that the following is a complete and accurate record of all my Covered Accounts² and Exempt Accounts³ (note: you do not need to disclose your Excluded Accounts⁴), and I agree to notify Country Compliance as soon as any of the information below changes.

Brokerage/Firm	Account Name	Account No.	Covered ² / Exempted ³	Please indicate which category the account falls under according to footnotes below (a / b/ c/ d /e)	Market	New disclosure (Yes/No)

I authorize Country Compliance to receive from the above broker(s) for the above account(s), duplicates of (tick the box):

- ☐ Contract Notes; and/or
☐ Statements

MOORI ASHOK KUMAR REDDY M.A.K. Reddy Storage Operations 30/10/2007
print name signature department date

¹ You can read the CIB Employee Trading Policy at <http://www.citigroup.net/gcibasia/compliance/>

² A **Covered Account** is any account in which you have the power to affect or ability to influence trading or investment decisions either directly or indirectly, including but not limited to accounts:

- a) in your name or in which you have a beneficial interest;
- b) in the name of your spouse or domestic partner or in which your spouse or domestic partner has a beneficial interest;
- c) in the name of, or in which a beneficial interest is held by your children, your children's spouses, or any other related individuals that either reside in the same household as; or are financially dependent upon you;
- d) in the name of, or in which a beneficial interest is held by any other individual not named in (a) (b) (c) but is materially supported by you financially; and
- e) for which you are the trustee or fiduciary; regardless of whether you hold a beneficial interest in the account, including family companies or trusts, investment syndicates, or superannuation funds.

³ An **Exempt Account*** includes:

- a) fully managed accounts, provided (a) the account is managed (either in-house or externally) by a registered investment advisor; (b) no communication between the investment advisor and you with regard to investment decisions is permitted to occur prior to execution; (c) unsolicited orders will not be accepted and (d) for approved outside accounts, the investment certifies to Compliance in writing that the conditions outlined in (a), (b) and (c) have been met. (Research employees should refer to their departmental policies regarding treatment of managed accounts.);
- b) estate or trust accounts in which you or related person has a beneficial interest but no power to affect or ability to influence investment decisions; and
- c) accounts of your spouse or household members who have an independent source of income or assets and in which you have no financial interest (that is, that you are not a joint account holder) and have no ability to control or influence the investment decisions, may be maintained outside the Firm. You must sign and submit a request form to Compliance attesting that you have not funded the account, have no financial interest in the account and will have absolutely not ability to control or influence investment decisions in the account.

*All **Exempt Accounts** must be reported to Compliance and if it is an external account, employees must authorize Compliance, at its discretion, to receive duplicate confirmations for all trades in such accounts.

⁴ An **Excluded Account** includes:

- a) mutual funds or unit investment trusts purchased directly from the fund or trust company, its captive distributor, or its transfer agent that are held in accounts that do not permit trading in individual securities;
- b) Keoghs, 401(k) and 403(b), or equivalent country-specific accounts in which you have no power to trade individual securities;
- c) direct-investment programs that allow the purchase of securities directly from the issuer without the intermediation of a broker/dealer, provided that the timing and size of purchases are established by a pre-arranged schedule (for example, dividend reinvestment plans);
- d) annuities, CDs and money market, savings or checking accounts provided that the account does not, by its terms, allow for the execution of securities or commodities transactions; and
- e) in countries in which such activity is legally permitted, sports-betting accounts; provided, however, that betting on financial instruments or derivative instruments in such accounts are prohibited.

Independent Spousal/Related Person Declaration Form



NAME: MOORI. ASHOK KUMAR REDDY
(Please print clearly) Last First Middle

GEID NUMBER: 1000591297

DEPARTMENT: STORAGE OPERATIONS

DIVISION and COST CENTER: 8022

Details of Spousal / Related Person Securities or Commodity Account

	Account Holder Name	Broker Firm	Account Number
1)	_____	_____	_____
2)	_____	_____	_____
3)	_____	_____	_____

Please choose one

☐ I confirm that I am a **private side employee** and I have read and agree to comply with the CIB Employee Trading Policy¹ (ETP). I declare the above Spousal / Related Person Account is an independent spousal/related person account as defined in the CIB ETP and in particular I declare that:

- my Spouse/ Related person has an independent source of income and I have not funded the Spousal / Related person Account in any way;
- I am not a joint holder of the Spousal / Related person Account;
- I have no financial interest in the Spousal / Related person Account; and
- I do not have any power to control or influence investment decisions or the ability to authorize trades.

I am authorized and agree to:

- provide monthly statements of the Spousal / Related person Account to the Compliance Department; and
- advise the Compliance Department as soon the above declaration is no longer true and accurate.

OR

☐ I confirm that I am a **public side employee** and I have read and agree to comply with the CIB Employee Trading Policy¹ (ETP). I declare the above Spousal/Related person Account is an independent spousal /Related person account as defined in the CIB ETP and in particular I declare that:

- my Spouse/ Related person who should be subject to the CIB ETP has an independent source of income and I have not funded the Spousal / Related person Account in any way;
- I am not a joint holder of the Spousal / Related person Account;
- I have no financial interest in the Spousal / Related person Account; and
- I do not have any power to control or influence investment decisions or the ability to authorize trades.

I agree to notify the Employee Trading Unit in the Compliance Department as soon the above declaration is no longer true or accurate.

M.A.K. Reddy
Employee's Signature

30/10/2007
Date

Please submit this form to the Country Compliance, 12th Floor, Centennial Tower, Singapore 039190
Tel no. (65) 6328 5626 Fax no. (65) 6328-5522

¹ The CIB Employee Trading Policy is available at <http://www.citigroup.net/gcibasias/compliance/forms/index.shtml>

1. The first part of the paper is devoted to the study of the

properties of the

operator T defined by

$Tf(x) = \int_0^x f(t) dt$

where f is a function

defined on the interval $[0, 1]$

APPENDIX II TO SCHEDULE A – UNDERTAKINGS FOR THE SERVICE PROVIDER'S
EMPLOYEES

To: CITIBANK, N.A. SINGAPORE BRANCH ("CITIBANK")
3 TEMASEK AVENUE, #12-00 CENTENNIAL TOWER
SINGAPORE 039190

CITIBANK, N.A., COLOMBO ("CUSTOMER")
NO 65C DHARMAPALA MAWATHA
COLOMBO 07 SRI LANKA

Dear Sir:

In the course of providing services to Citibank and the Customer pursuant to the Agreement between Citibank and the Customer, I understand that I may receive, discover or obtain access to customer, technical and business information belonging to Citibank, the Customer, or any other party, in whatever form or medium. All such information is herein referred to as "Confidential Information".

I, the undersigned employee and/or agent of Citibank, hereby unconditionally and irrevocably undertake to observe the covenants and provisions on confidentiality and secrecy set out in the Agreement, including the non-disclosure of Confidential Information to any person or entity, except to such other employees of Citibank as may be necessary to perform services under the Agreement and strictly on a need to know basis. I hereby acknowledge and confirm that I have been fully informed and am aware of the full scope of the undertaking of confidentiality and secrecy required in the Agreement.

I further acknowledge and confirm that I am aware of and agree to observe the duty/ies specified under the Agreement between Citibank and the Customer to *inter alia*, keep confidential all information pertaining to the customers and the transactions of Customer or to the state of accounts of any person or to such other matter relating thereto, provided to Citibank under the Agreement and to which, I the undersigned employee, in the course of providing the services to the Citibank and the Customer may be privy or have access.

By signing this Confidentiality and Secrecy Undertaking, I intend for Citibank to rely upon my adherence to the obligation of confidentiality set forth above in permitting me to work on the project contemplated by the Agreement.

Signed by:

[Citibank's Employee]

[Name and Signature]

[GEID No.]

[Date]

MOOKI. ASHOK KUMAR REDDY & M.A.K. Reddy
1000591297
30/10/2007

