

- 1) Have you been convicted, whether in Singapore or elsewhere, of an offence involving fraud or dishonesty or the conviction for which involves a finding that you have acted fraudulently or dishonestly or the conviction involving criminal records?
☐Yes ☐No
- 2) Are you, whether in Singapore or elsewhere, an undischarged bankrupt or has had execution against you in respect of a judgment debt¹ returned unsatisfied in whole or in part or has entered into a compromise or scheme of arrangement² with creditors that is still in operation?
☐Yes ☐No
- 3) Have you been a director of, or directly concerned in the management of a regulated financial institution which is being or has been wound up by a court or the licence of which has been revoked by the regulatory authority, in Singapore or elsewhere?
☐Yes ☐No
- 4) Do you have a prohibition order³ made against you under section 35V of the Insurance Act (Cap. 142), or under section 59 of the Financial Advisers Act (Cap. 110) or section 101A of the Securities and Futures Act (Cap. 289) that remains in force?
☐Yes ☐No

If the answer is “Yes” for any of the 4 questions, please provide the relevant information in the space below.

I hereby declare that the information provided by me is true and complete and I understand that omission or misrepresentation of any fact may result in disciplinary action including immediate dismissal. I further confirm that I will update **both my employer and** the Bank if there are any changes to what I have previously declared. *

☐Yes

¹ “Execution in respect of a judgment debt” refers to the enforcement of an order by a court in Singapore for the payment or recovery of money. This is not equivalent to a lawyer’s letter of demand for payment.

² “Compromise or scheme of arrangement” includes all forms of debt repayment scheme for outstanding amounts owed including compounded and suspended payments. If you have been served any form on demand of payment by any creditors, you should state a positive declaration in this declaration exercise.

³ The “prohibition order” is an order issued by the Monetary Authority of Singapore, which disallow a person from engaging in any or all of the regulated activities under the relevant Act. This can be issued against registered representatives or non-registered individuals.